

# **The Microwave Effects**

**2023.5.6.  
Shu Nakamura**

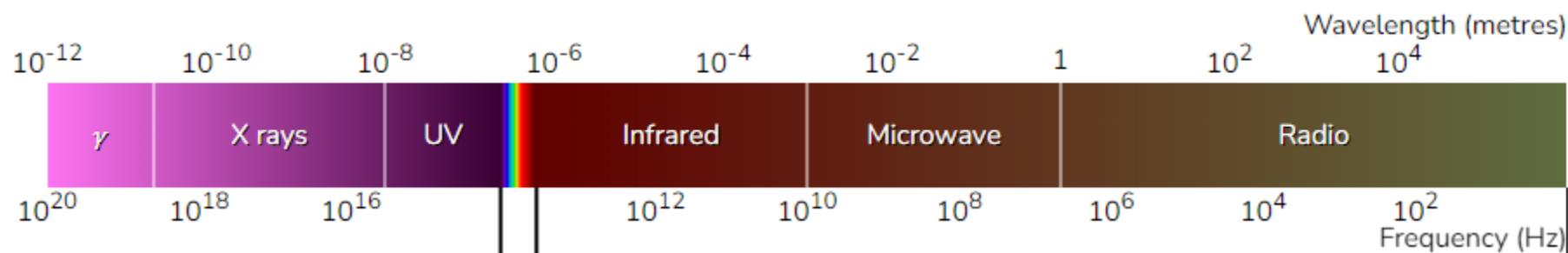
# **Contents**

**1. Introduction**

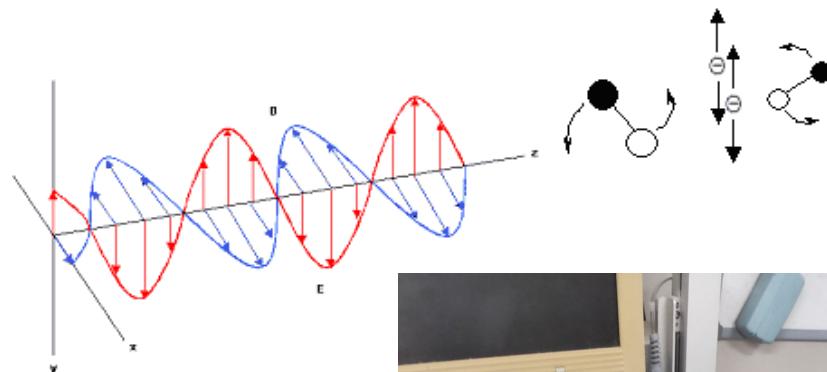
**2. Thermal Effects**

**3. Nonthermal Effects**

# Microwave



Mainly, 2.45 GHz is used.



$$U = -\vec{\mu} \cdot \vec{E} = -\mu E \cos \theta$$

Simply...

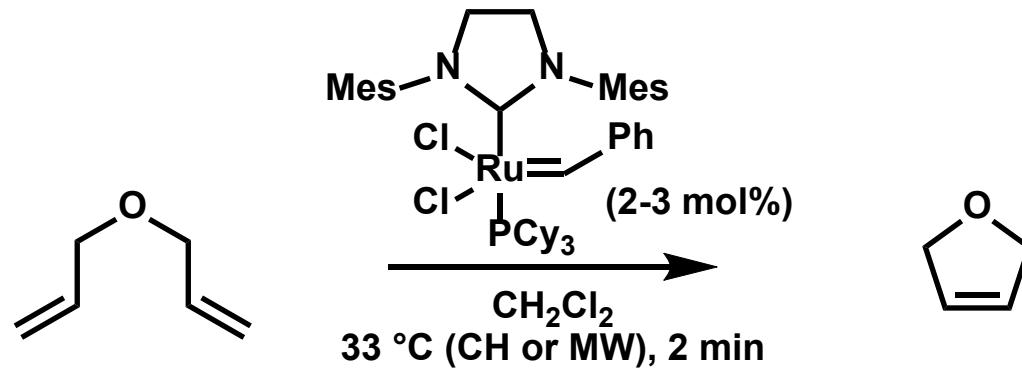
→ Dipole moment received energy from electromagnetic waves.

Microwaves heat molecules directly.  
→ rapid, volumetric, selective heating



- 1) <https://www.acs.org/education/resources/undergraduate/chemistryincontext/interactives/radiation-from-sun/electromagnetic-spectrum.html>
- 2) de la Hoz, A.; Díaz-Ortiz, Á.; Moreno, A. *Chem. Soc. Rev.* **2005**, 34, 164.

# Microwave Effects



Heating Method	Yield
CH (Conventional Heating)	4%
MW (Microwave Heating)	85%

Utilizing microwaves instead of an oil bath sometimes **accelerate** the reaction and **improve** the yield.

# **Prof. de la Hoz and Naito**



**Prof. Antonio de la Hoz**

**1986 Ph.D @ Complutense University of Madrid  
(Prof. José Elguero and Carmen Pardo)**

**1987 Postdoctoral fellow @ Technical University of Denmark  
(Prof. Mikael Begtrup)**

**1988 Assistant Professor @ the University of Castilla-La Mancha**

**2000-Professor @ the University of Castilla-La Mancha**

**Research topic: microwaves, flow methodologies, solvent-free reactions**

**Prof. Akira Naito**



**1978 Ph.D @ Kyoto University (Prof. Hiroyuki Hatano)**

**1978 Postdoctoral fellow @ The University of British Columbia  
(Prof. Charles Alexander McDowell)**

**1984 Assistant Professor @ Kyoto University**

**1990 Associate Professor @ Himeji Institute of Technology**

**2001 Professor @Yokohama National University**

**2015-Professor Emeritus @Yokohama National University**

**Research topic: solid NMR, structural biology**

1) <https://orcid.org/0000-0002-7101-6910>

2) <https://supramat.uib.es/msoc-uclm/>

3) <https://nrid.nii.ac.jp/ja/nrid/1000080172245/>

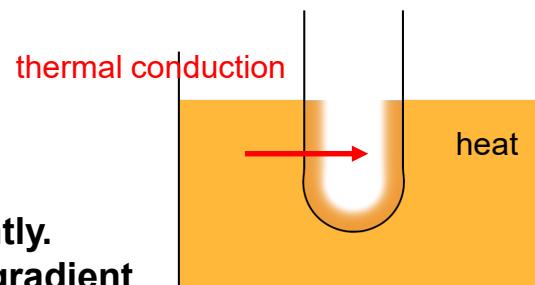
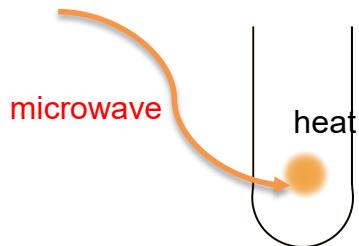
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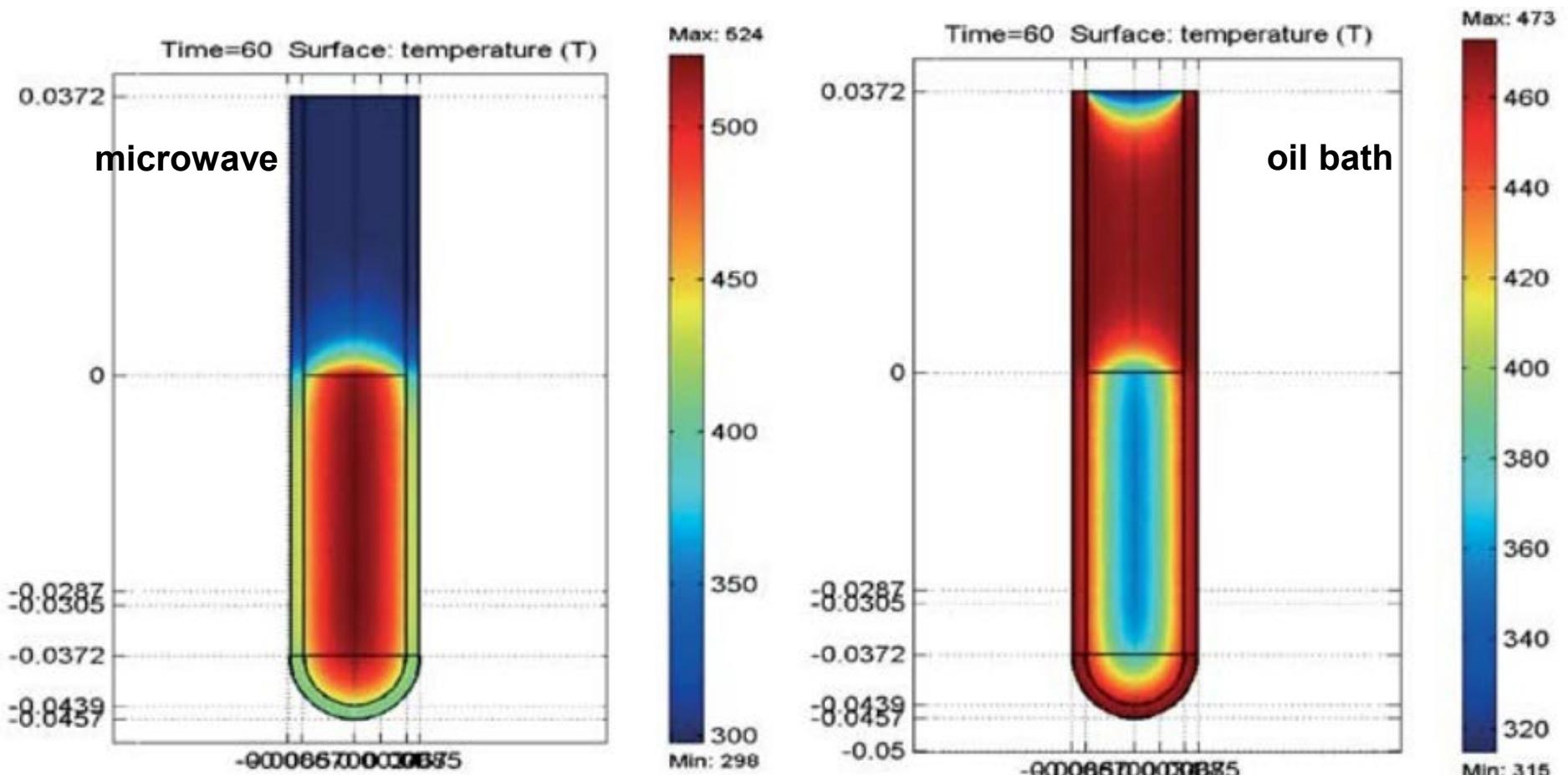
**2. Thermal Effects**

**3. Nonthermal Effects**

# Thermal Effects (1) – Overheating



Microwaves heat differently.  
→ inverted temperature gradient

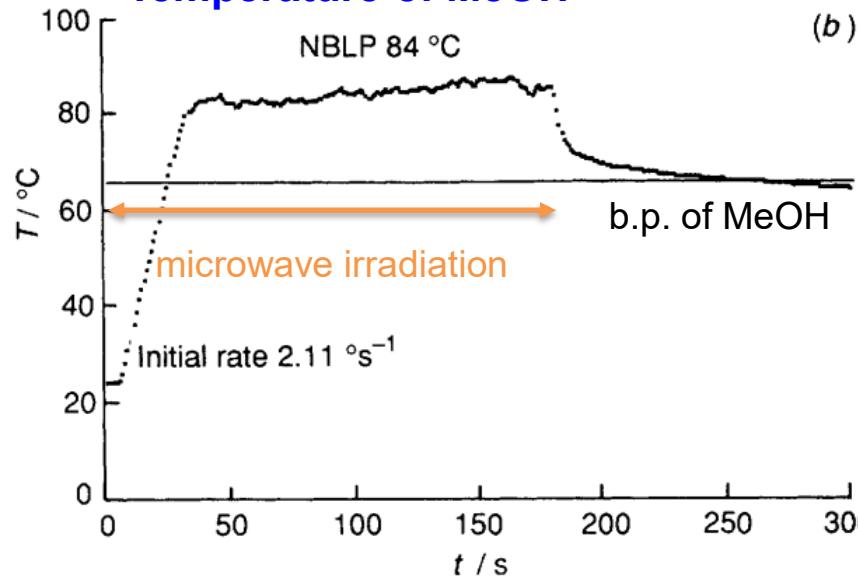


1) de la Hoz, A.; Díaz-Ortiz, Á.; Moreno, A. *Chem. Soc. Rev.* 2005, 34, 164.

2) Schanche, J. S. *Mol. Diversity* 2003, 7, 291.

# Thermal Effects (1) – Overheating

## Temperature of MeOH

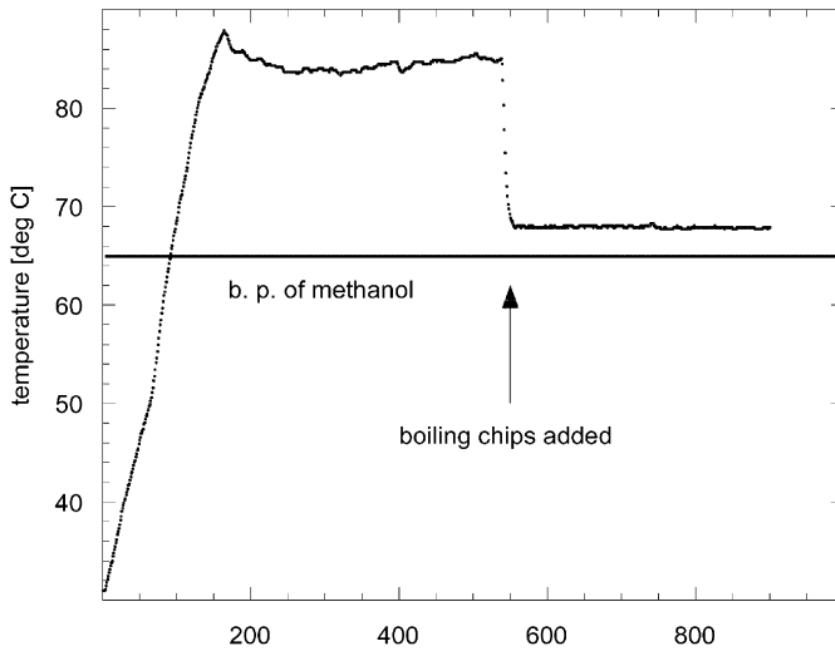


Overheating can be observed under reflux conditions.

This effect can be achieved even in apolar solvents by adding polar molecules (ionic liquid, etc.).

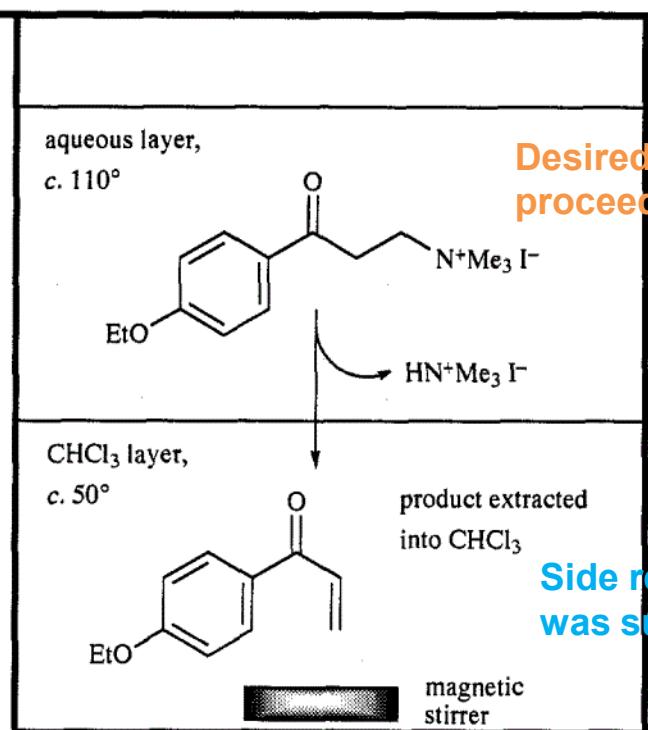
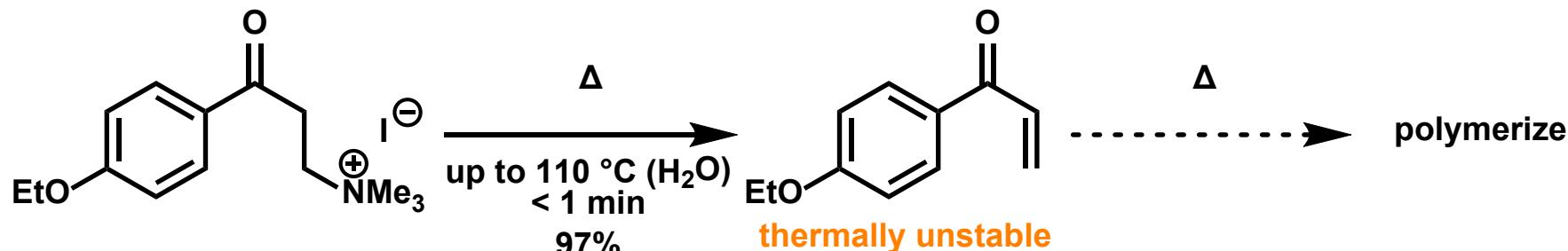
The boiling temperature of bulk liquid is higher than that of surface.

→ The bulk liquid can be heated higher than b.p..



- 1) Baghurst, D. R.; Mingos, D. M. P. *J. Chem. Soc., Chem. Commun.* **1992**, 674.
- 2) Klán, P.; Literák, J.; Relich, S. *J. Photochem. Photobiol., A* **2001**, 143, 49.
- 3) de la Hoz, A.; Díaz-Ortiz, Á.; Moreno, A. *Chem. Soc. Rev.* **2005**, 34, 164.

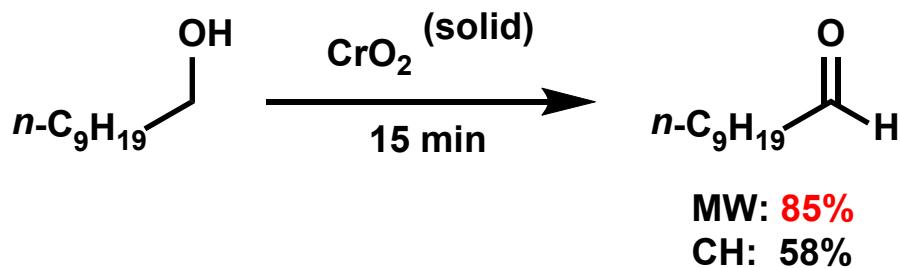
# Thermal Effects (2) – Selective Heating



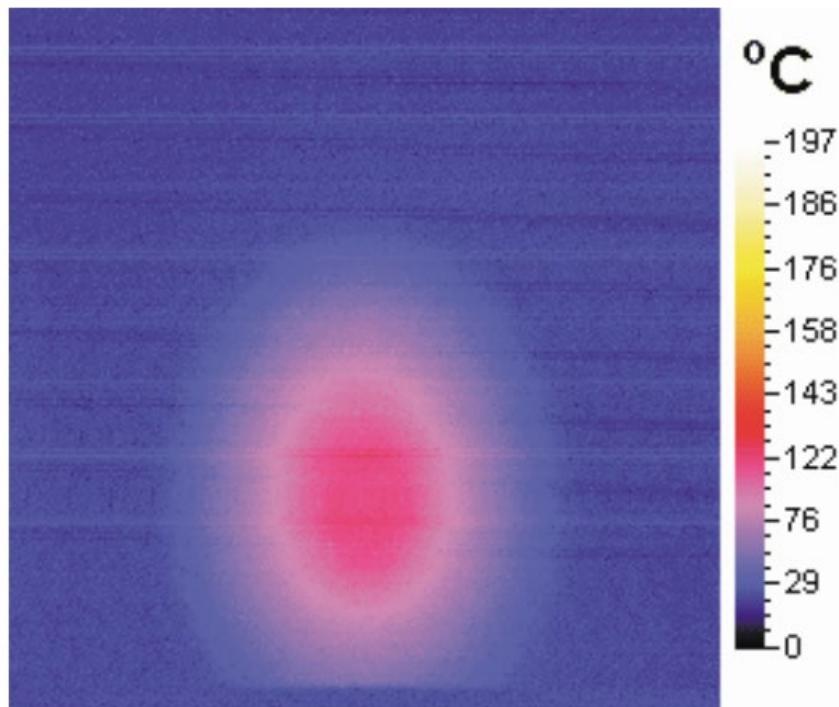
conditions:  
 $\Delta/110^\circ/1\text{ min}$   
yield: 97%

- 1) Raner, K. D.; Strauss, C. R.; Trainor, R. W. *J. Org. Chem.* **1995**, *60*, 2456.
- 2) Strauss, C. R.; Trainor, R. W. *Aust. J. Chem.* **1995**, *48*, 1665.
- 3) de la Hoz, A.; Díaz-Ortiz, Á.; Moreno, A. *Chem. Soc. Rev.* **2005**, *34*, 164.

# Thermal Effects (2) – Selective Heating



Temperature of  $\text{CrO}_2$  in toluene  
after MW irradiation for 2 min



Microwave selectively heated around the reagent.

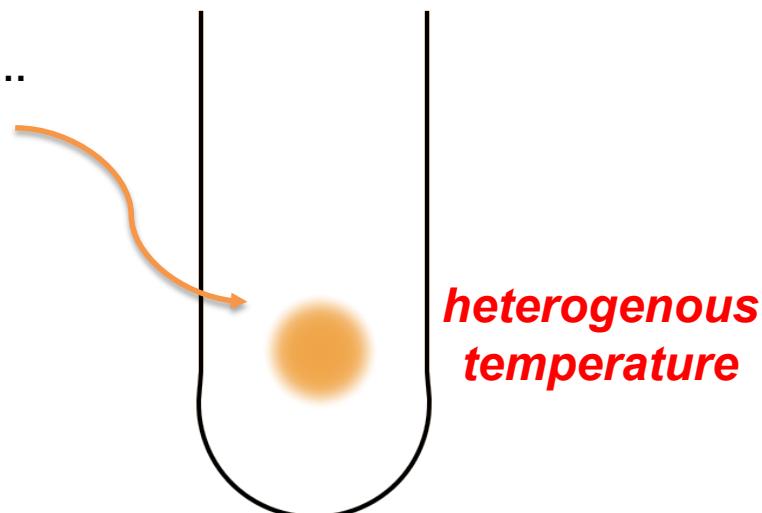
- 1) Bogdal, D.; Lukasiewicz, M.; Pielichowski, J.; Miciak, A.; Bednarz, Sz. *Tetrahedron* **2003**, 59, 649.
- 2) de la Hoz, A.; Díaz-Ortiz, Á.; Moreno, A. *Chem. Soc. Rev.* **2005**, 34, 164.

# Thermal Effects

Microwave produce different thermal properties.

Microwave heating is...

- rapid
- volumetric
- selective



- accelerate reaction
- improve selectivity

**thermal effect**

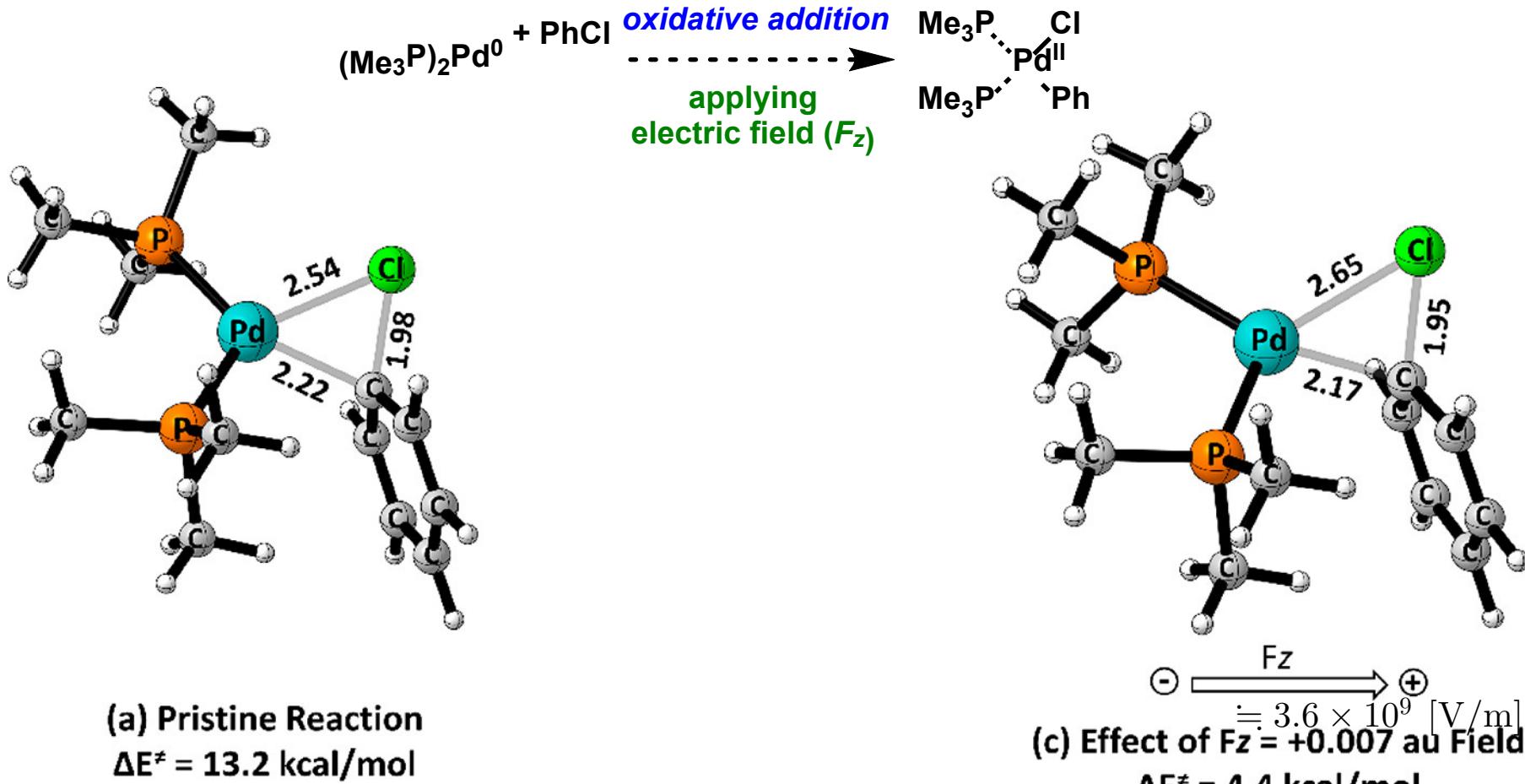
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**1. Introduction**

**2. Thermal Effects**

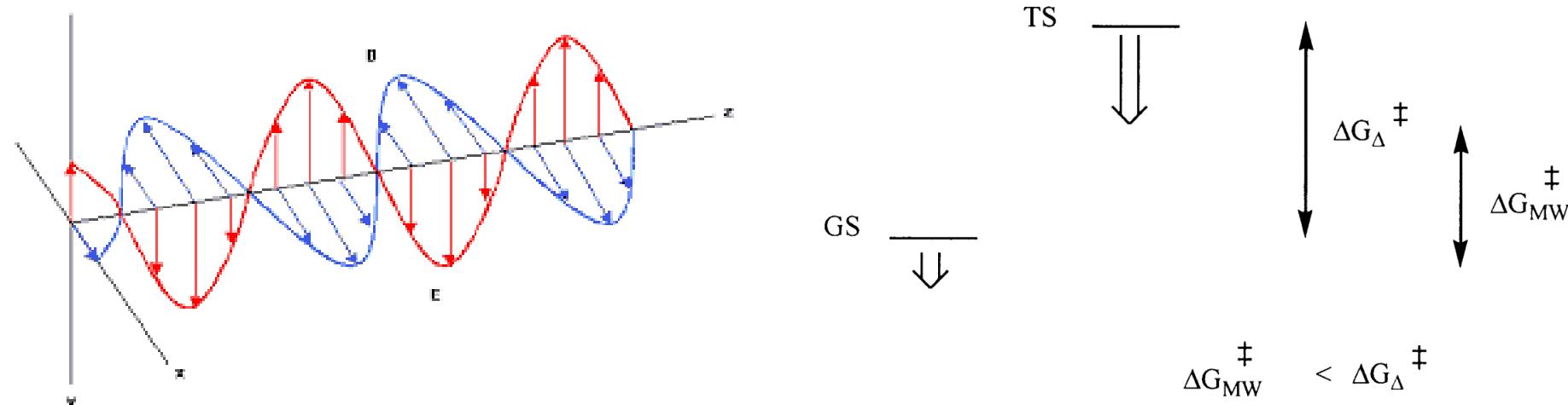
**3. Nonthermal Effects**

# External Electric Field Effect



External electric field stabilizes the polarized transition state.  
→enhance reaction

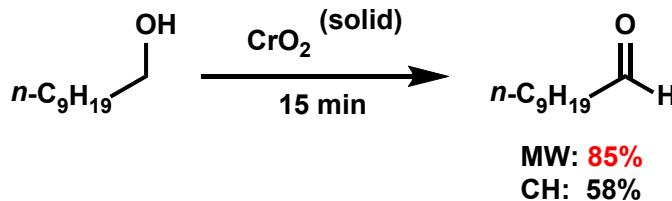
# Nonthermal Effects



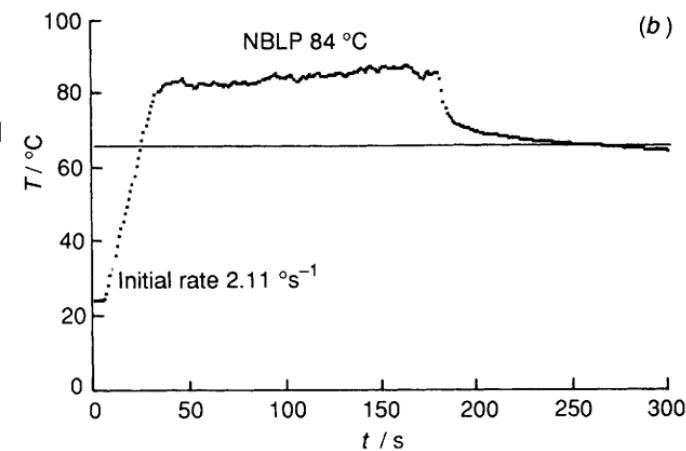
Microwaves have their electric and magnetic fields. → Microwaves would affect the activation energies?

However, microwaves produce different thermal properties.

→ thermal effect



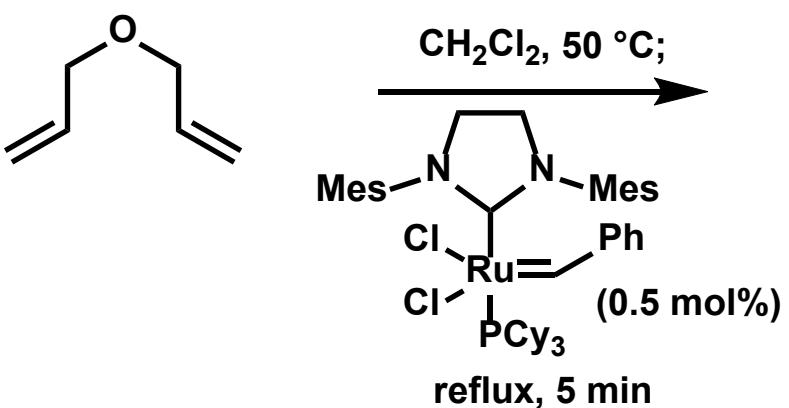
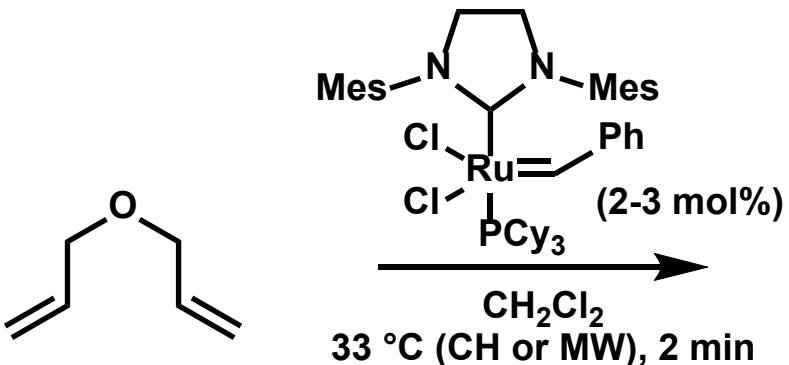
How do we distinguish nonthermal effects from thermal effects?



1) Perreux, L.; Louopy, A. *Tetrahedron* **2001**, *57*, 9199.

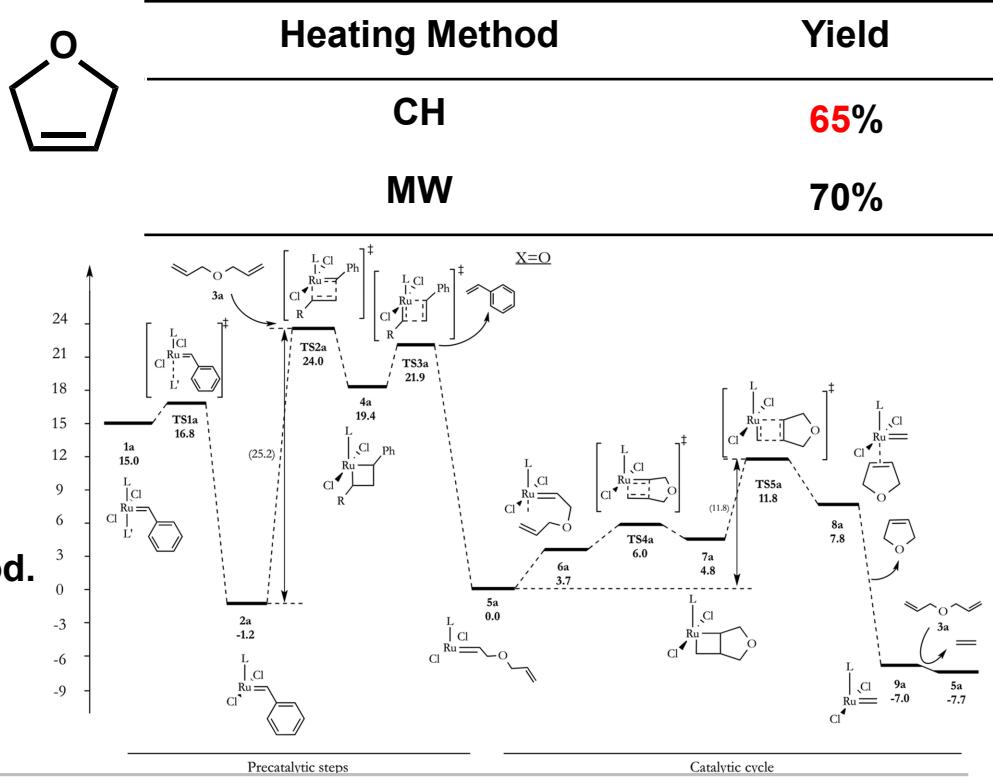
2) de la Hoz, A.; Díaz-Ortiz, Á.; Moreno, A. *Chem. Soc. Rev.* **2005**, *34*, 164.

# Nonthermal? or Thermal?



→ Only overheating accelerated the induction period.

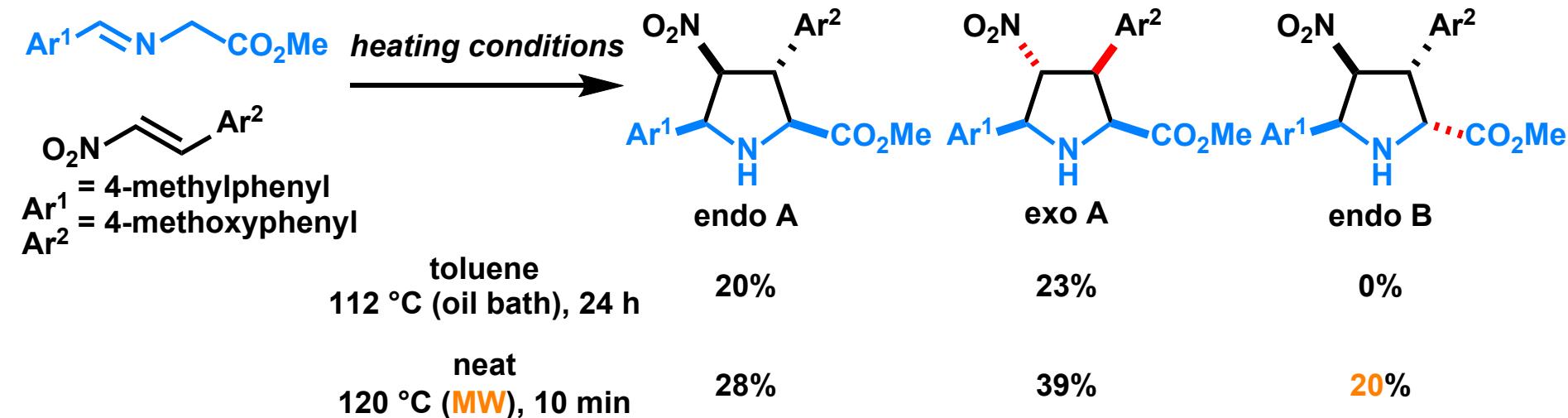
Heating Method	Yield
CH	4%
MW	85%



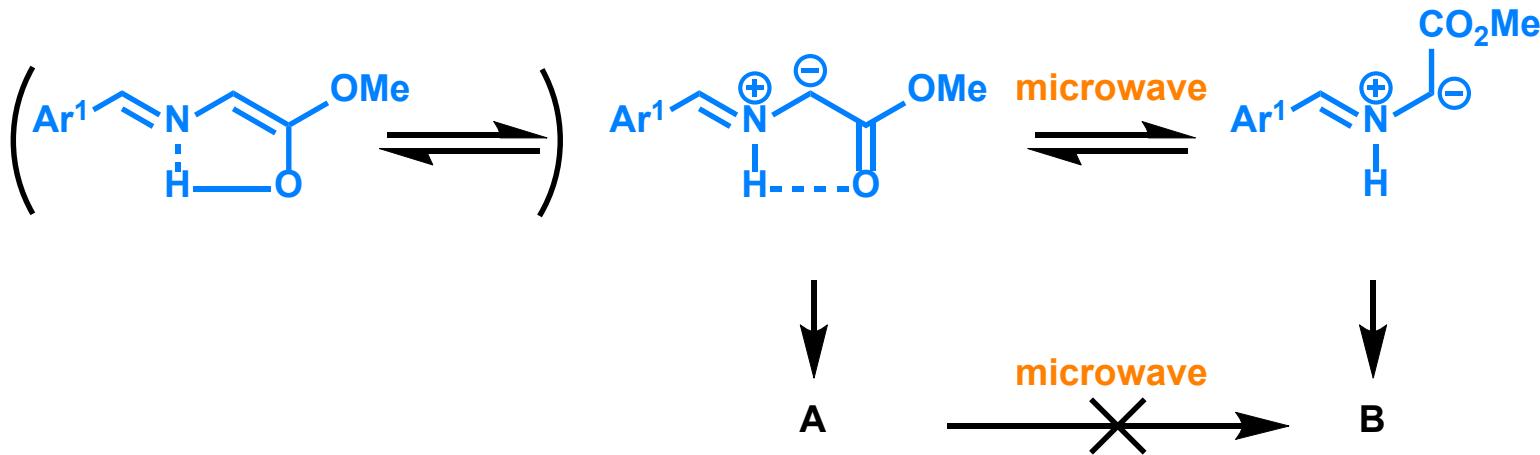
1) Garbacia, S.; Desai, B.; Lavastre, O.; Kappe, C. O. *J. Org. Chem.* **2003**, *68*, 9136.

2) Rodríguez, A. M.; Prieto, P.; de la Hoz, A.; Díaz-Ortiz, Á.; García, J. I. *Org. Biomol. Chem.* **2014**, *12*, 2436.

# Nonthermal? or Thermal?



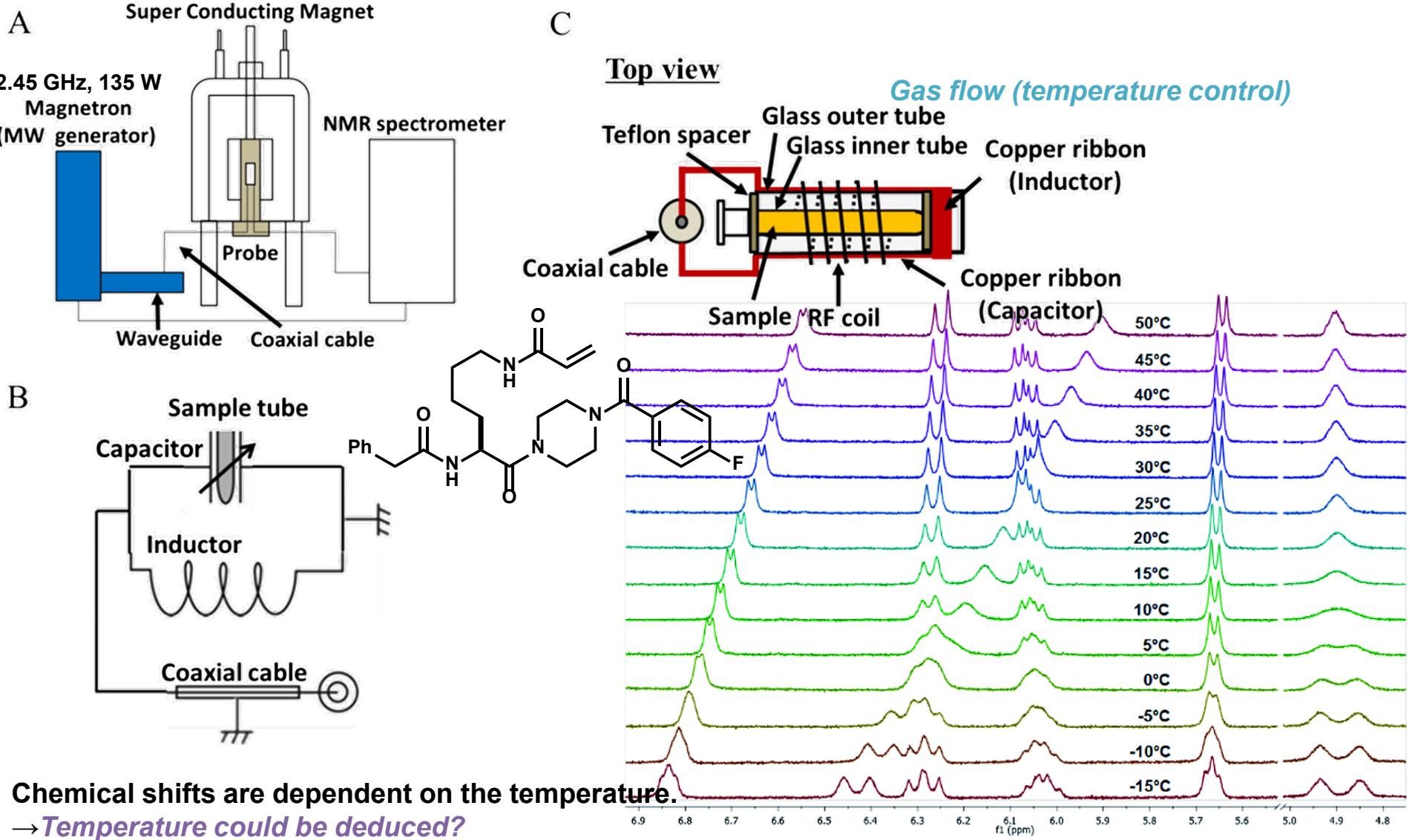
Active species (dipole) was changed?



Nonthermal Effect?

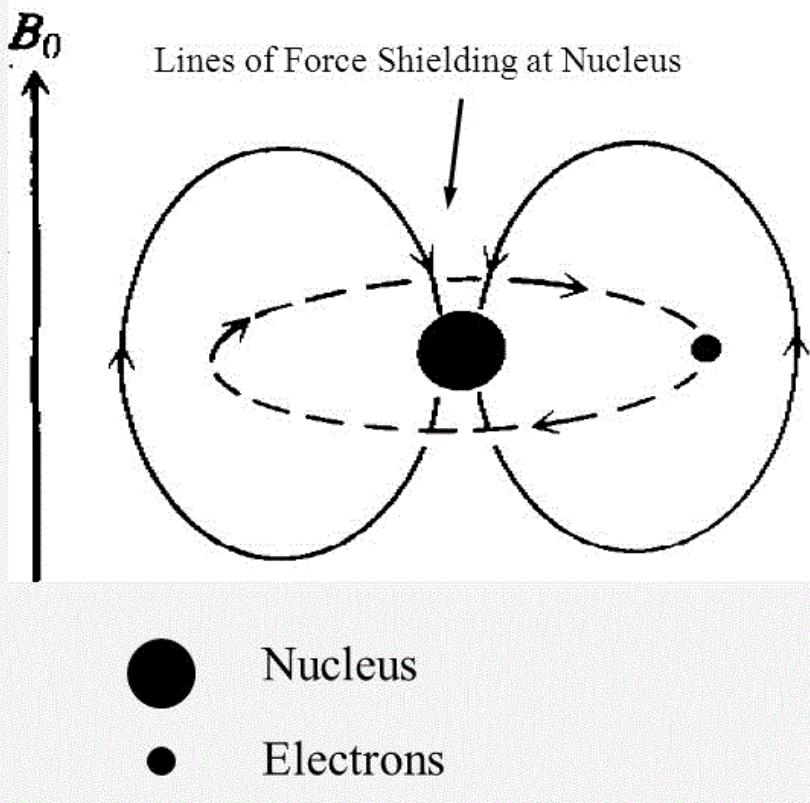
- 1) Díaz-Ortiz, Á.; de la Hoz, A.; Herrero, M. A.; Prieto, P.; Sánchez-Migallón, A.; Cossío, F. P.; Arrieta, A.; Vivanco, S.; Foces-Foces, C. *Mol. Diversity* **2003**, 7, 175.
- 2) Vivanco, S.; Lecea, B.; Arrieta, A.; Prieto, P.; Morao, I.; Linden, A.; Cossio, F. P. *JACS* **2000**, 122, 6078.

# NMR Observation under Microwave Irradiation



- 1) Tasei, Y.; Mijiddorj, B.; Fujito, T.; Kawamura, I.; Ueda, K; Naito, A. *J. Phys. Chem. B.* **2020**, *124*, 9615.
- 2) Tasei, Y.; Yamakami, T.; Kawamura, I.; Fujito, T.; Ushida, K.; Sato, M.; Naito, A. *J. Magn. Reson.* **2015**, *254*, 27.
- 3) Wodtke, R.; Steinberg, J.; Köckerling, M; Löser, R.; Mamat, C. *RSC Adv.* **2018**, *8*, 40921.

# Chemical Shift Calibrated (CSC)-Temperature



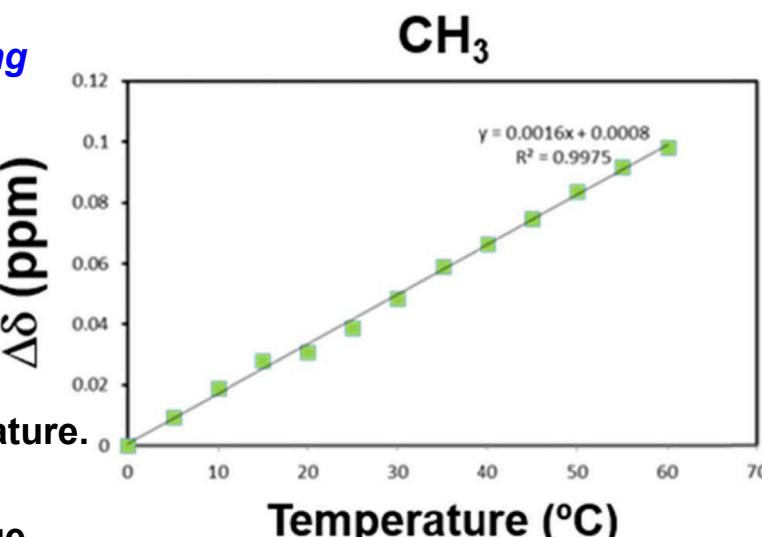
bonding electron localizes at X

→weak shielding  
lower field



raise  
temperature

bond: weaker  
→strong shielding



A chemical shift usually goes to a higher field at raised temperature.

The correlation between  $\delta$  and T is almost linear in a small range.  
→Chemical shifts can be used as a thermometer of the bulk.

Observation of molecule and measurement of the temperature  
can be conducted at once under microwave irradiation.

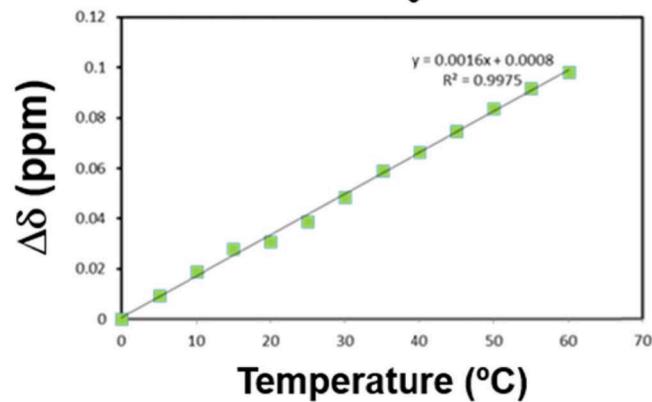
1) Tasei, Y.; Mijiddorj, B.; Fujito, T.; Kawamura, I.; Ueda, K; Naito, A. *J. Phys. Chem. B*. 2020, 124, 9615. 17

2) <https://slideplayer.com/slide/6374140/>

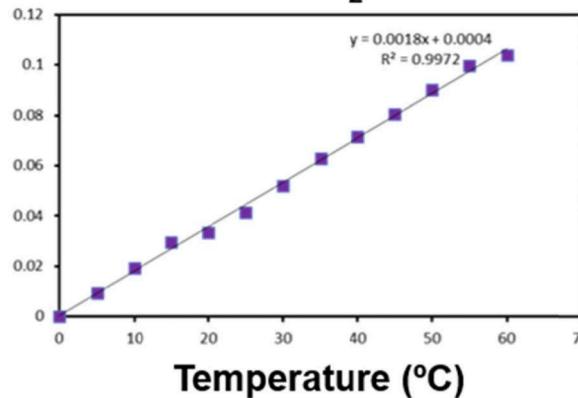
# Temperature Calibration

## Hexane

$\text{CH}_3$



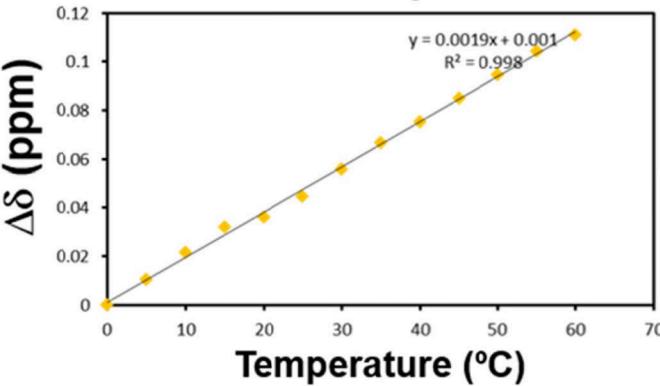
$\text{CH}_2$



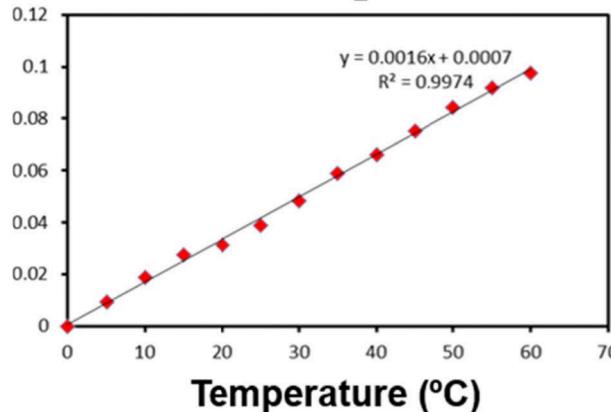
Temperatures were controlled by outer gas flow (for 30 min).  
*(conventional heating)*

## Ethanol

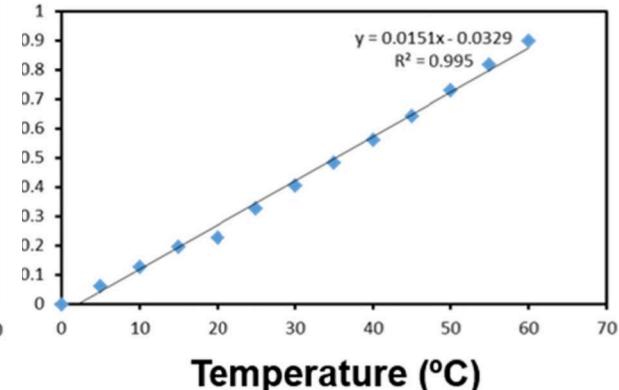
$\text{CH}_3$



$\text{CH}_2$



$\text{OH}$



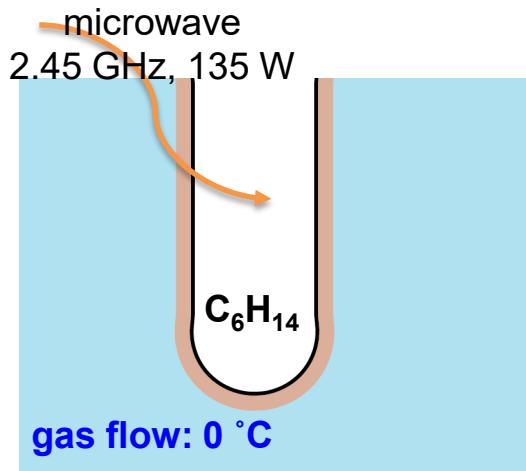
Linear correlations were obtained.

Large slope is thought to derive from the losing of hydrogen bonds.

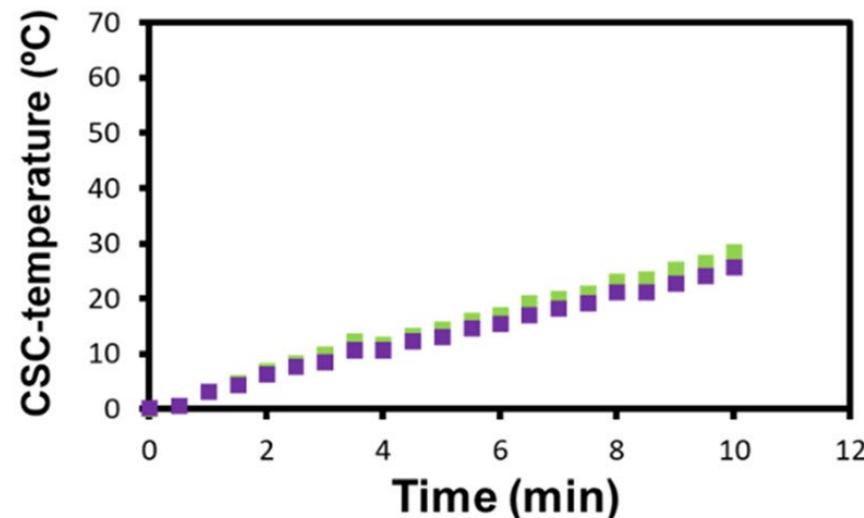
1) Tasei, Y.; Mijiddorj, B.; Fujito, T.; Kawamura, I.; Ueda, K; Naito, A. *J. Phys. Chem. B*. 2020, 124, 9615. 18

2) Van Geet, A. L. *Anal. Chem.* 1968, 40, 2227.

# Microwave Irradiation NMR (1) : Hexane



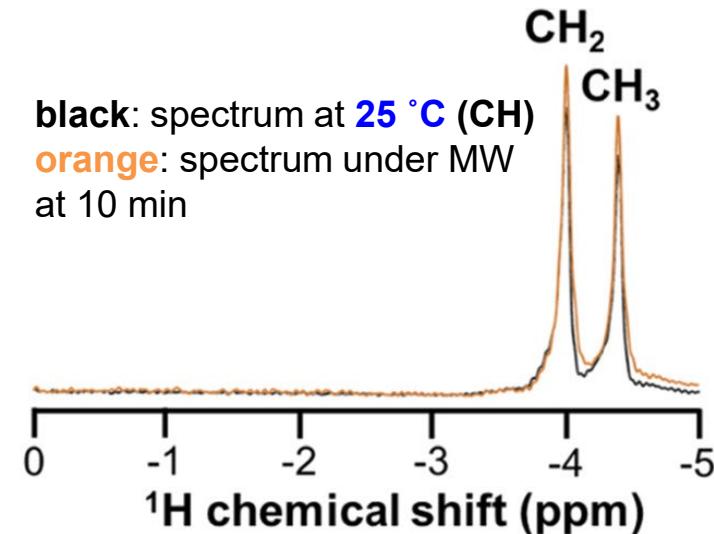
(a)  $\text{CH}_2$  (■),  $\text{CH}_3$  (■)



(b)

black: spectrum at  $25\text{ }^\circ\text{C}$  ( $\text{CH}$ )

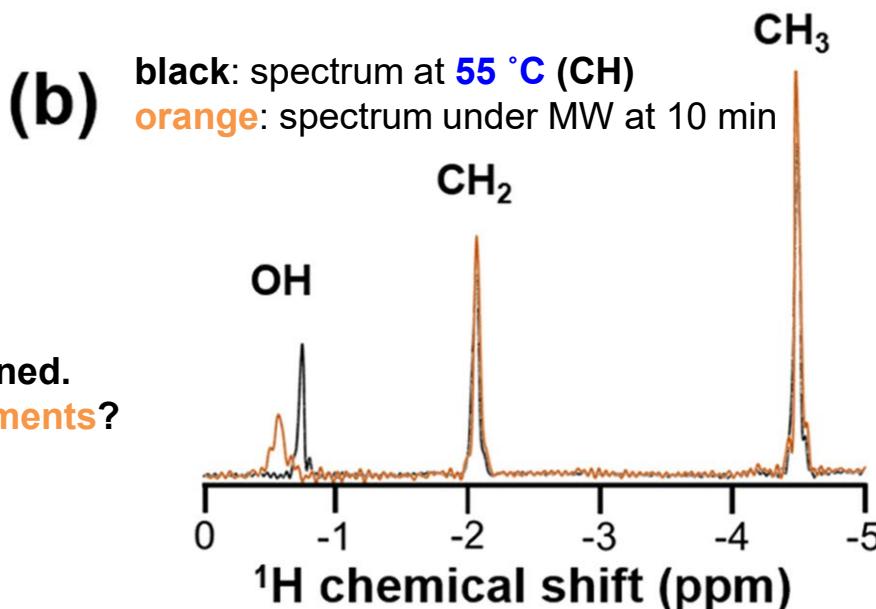
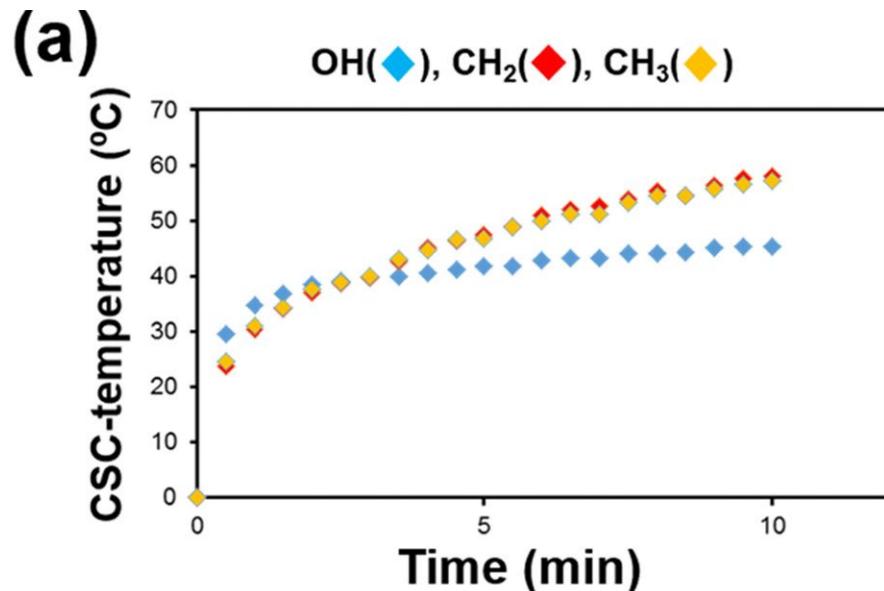
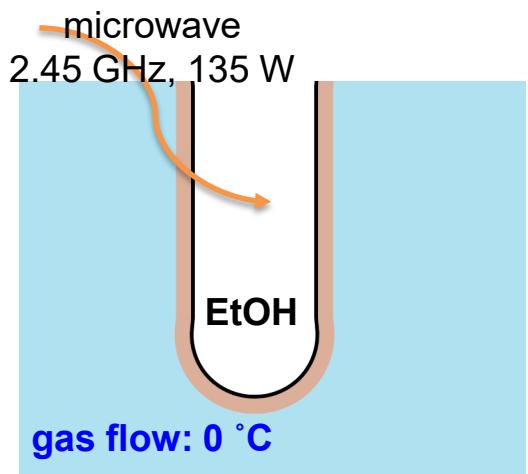
orange: spectrum under MW  
at 10 min



Same chart was obtained.

→ The microwave only heated the hexane to  $25\text{ }^\circ\text{C}$ .

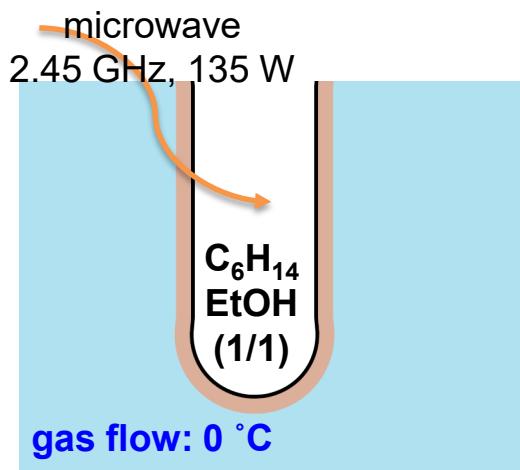
# Microwave Irradiation NMR (2) : EtOH



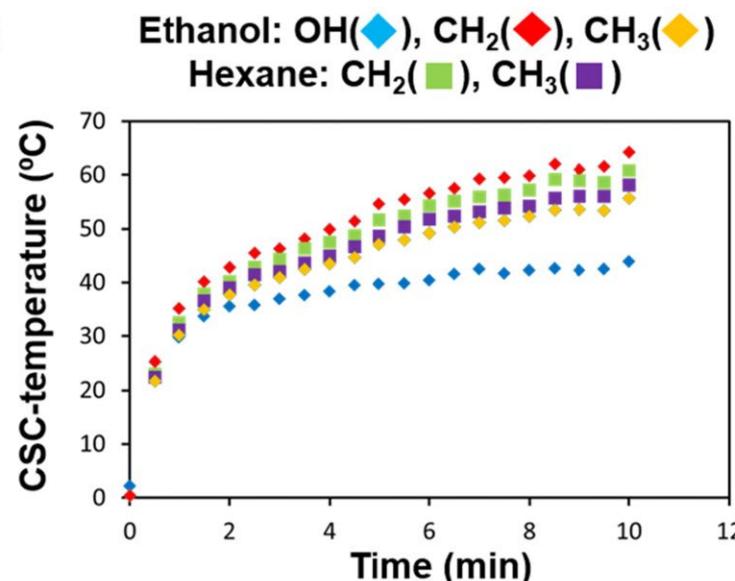
Different chart from conventional heating was obtained.

→ The molecules of EtOH were set in different environments?

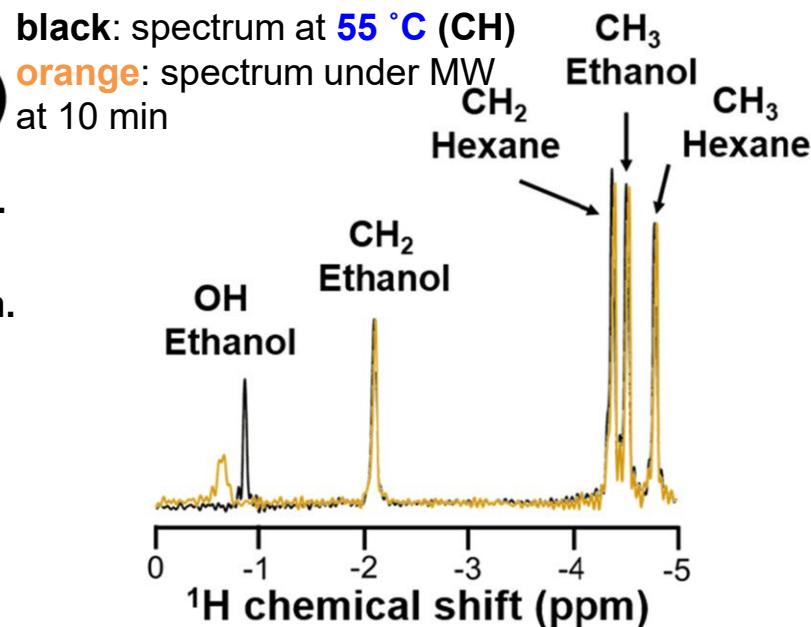
# Microwave Irradiation NMR (3) : EtOH in Hexane



(a)



(b)



All **aliphatic protons** indicated the same temperature.

→CH<sub>2</sub> and CH<sub>3</sub> of EtOH really showed the bulk temperature.

Only **OH** shows strange chemical shift under MW irradiation.

→different hydrogen bonding...?

**nonthermal effect**

# Proposed Nonthermal Effect

$$\Delta G = \underline{\Delta H} - T\Delta S$$

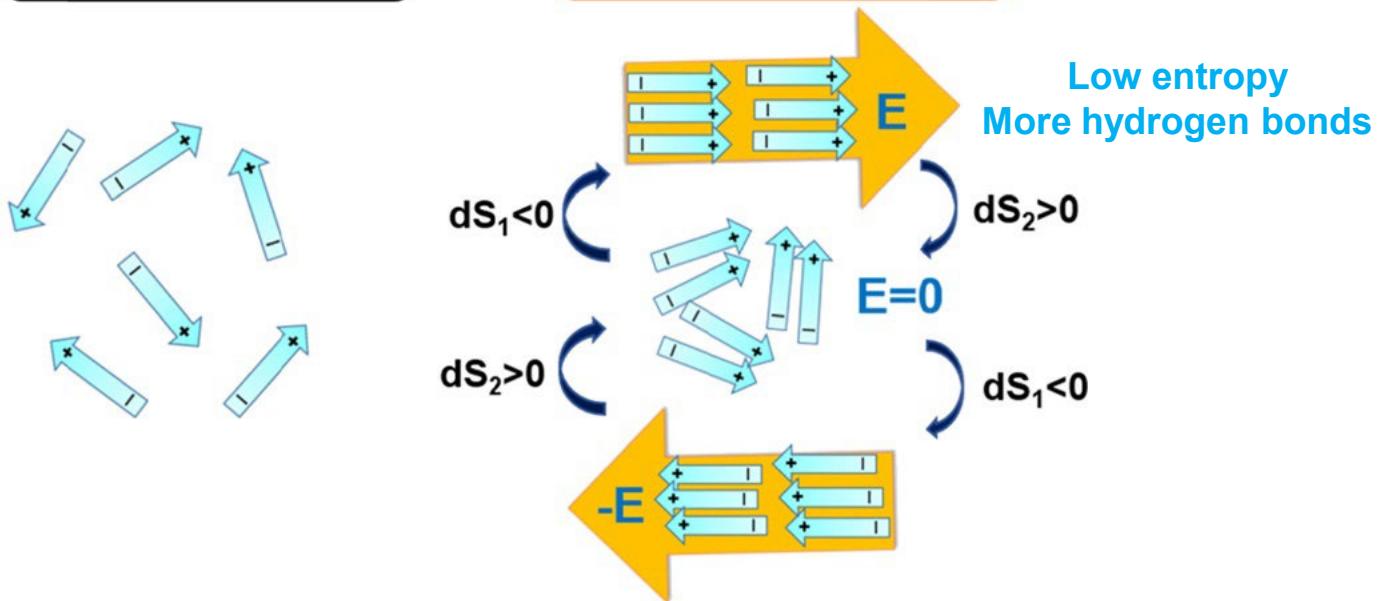
(a)

Thermal heating

thermal  
conduction

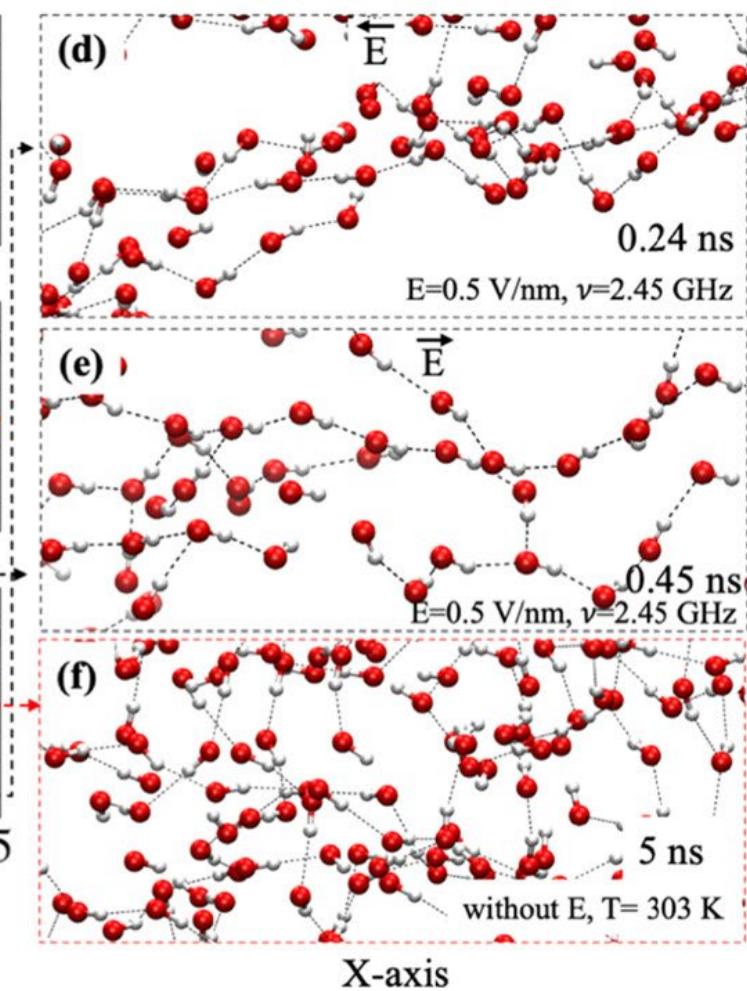
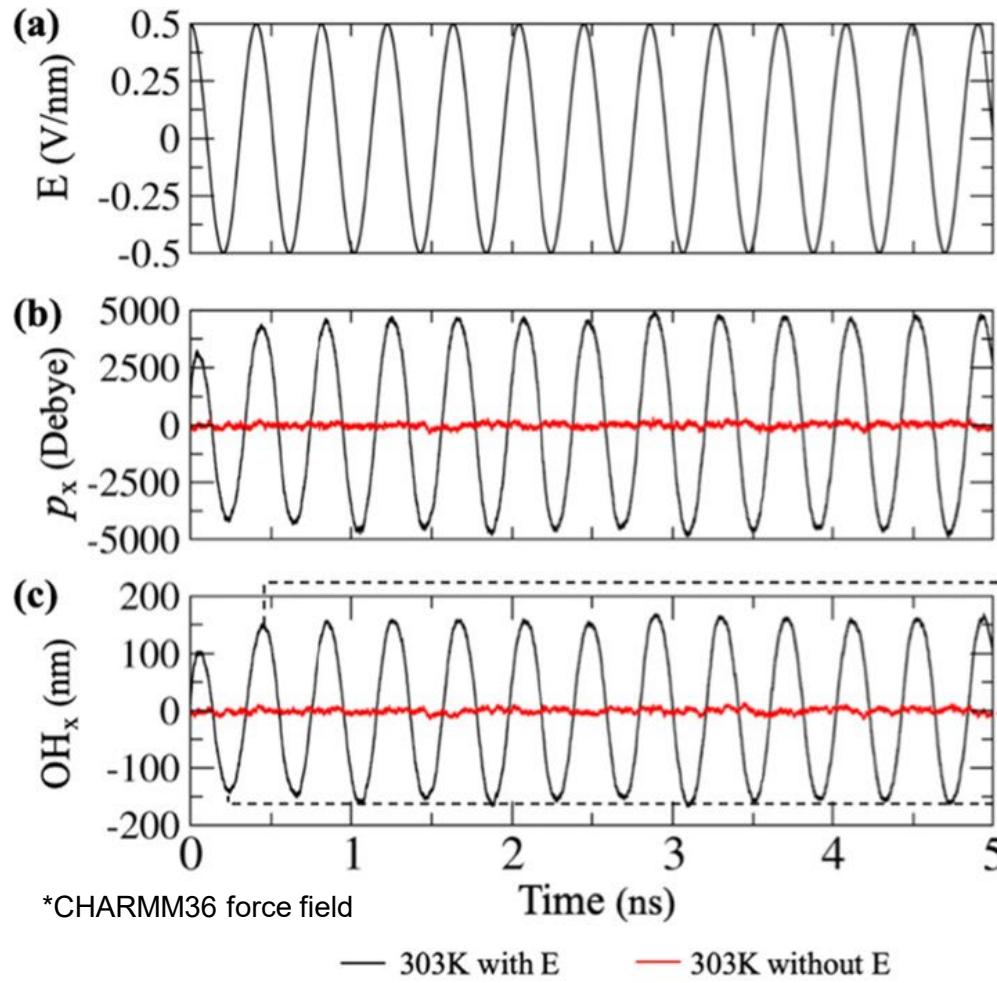
(b)

Microwave heating



Microwaves (possible to transfer  $W$ ) can affect entropy?

# Molecular Dynamics Simulation

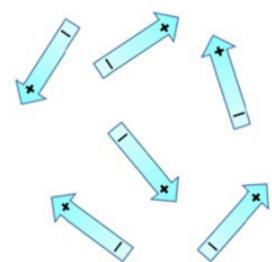


Polar molecules were oriented along the electric field ( $E$ ).  
(They also gathered to form hydrogen bonds.)

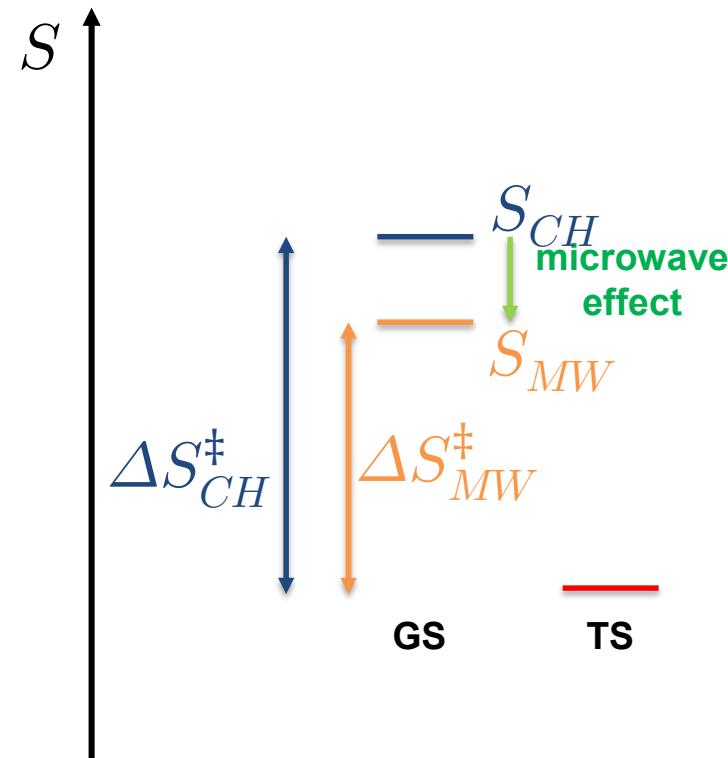
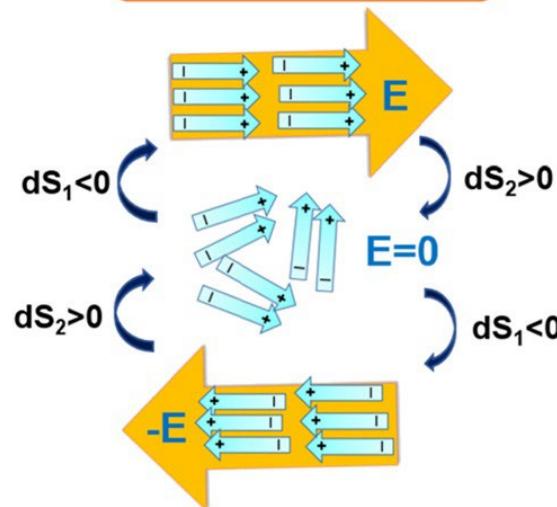
→ Microwaves increase hydrogen bonds and reduce entropy.

# Nonthermal Effect

(a)  
Thermal heating

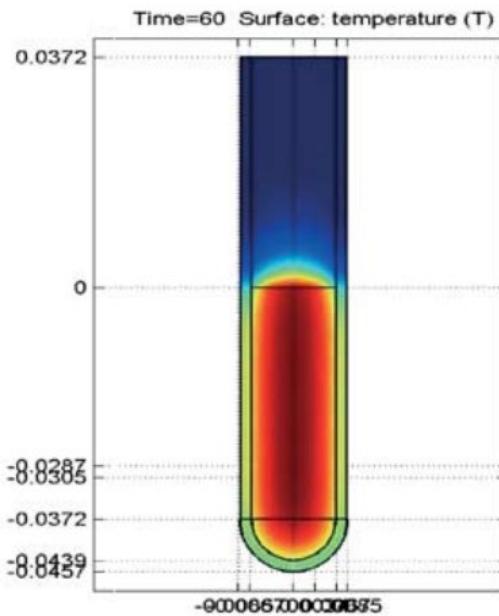


(b)  
Microwave heating

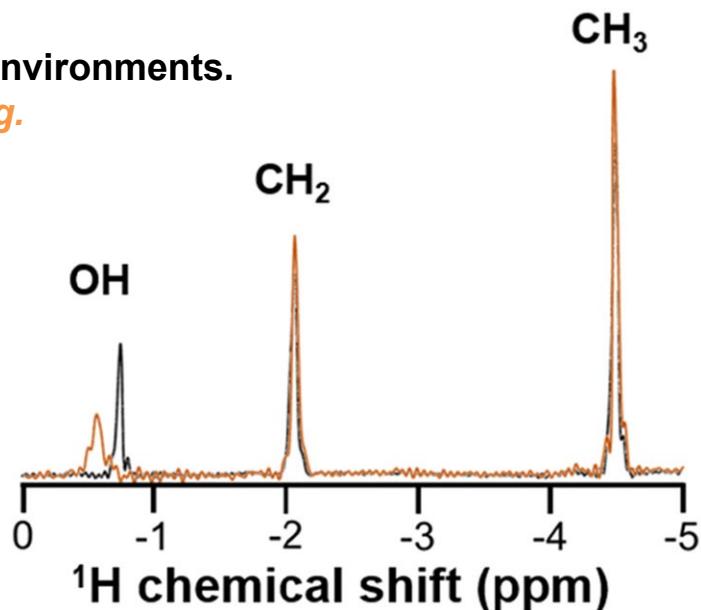
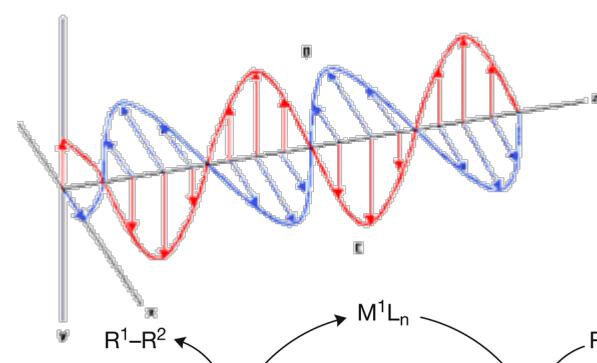


Microwaves can reduce the **entropy** of the ground state.

# Summary



Microwaves produce different heating environments.  
*Not same as oil bath heating.*



**Thermal Effects**  
(heterogeneous heating)  
• Selective heating  
• Overheating  
(raise  $T$  locally)

$$k(T) = \frac{k_B T}{h} e^{-\frac{\Delta H^\ddagger - T \Delta S^\ddagger}{RT}}$$

**Nonthermal Effects**  
(applying electric field)  
• Stabilizing polarized transition state  
(reduce  $\Delta H^\ddagger$ )  
• Orienting polar molecules  
(relief  $\Delta S^\ddagger$ )

Microwaves can accelerate the reaction and improve the yield in some cases.

## Microwave Effect

- 1) de la Hoz, A.; Díaz-Ortiz, Á.; Moreno, A. *Chem. Soc. Rev.* **2005**, 34, 164.
- 2) Tasei, Y.; Mijiddorj, B.; Fujito, T.; Kawamura, I.; Ueda, K; Naito, A. *J. Phys. Chem. B.* **2020**, 124, 9615.

# **Appendix**

# Comparing to -20 °C

EtOH/hexane = 1/5

